Green Alpha Advisors, LLC

Money Management for Environmentally Focused Capital

SIERRA CLUB
FOUNDED 1892

Sierra Club Green Alpha Portfolio

Charles Schwab IRA Managed Account Application Instructions

Section 1: Designation of Money Manager

- Skip this section, Green Alpha Advisors will complete this for you.

Section 2: Account Holder Information

- Fill in account holder info and answer required regulatory questions (yes/no)
- If you are a US citizen, "Identification Type" information is optional

Section 3: Select IRA Type

- Select appropriate account type (Traditional IRA, Roth IRA, etc)
- Complete corresponding information

Section 4: Designate Beneficiary(ies)

- Complete Primary & Contingent Beneficiary(ies)

Section 5: Additional IRA Account

- Skip this section, this section does not apply in most cases

Section 6: Optional Third-Party Access to Account Information

- If you would like any other party (such as an accountant or beneficiary) to receive your account information, please complete this section, otherwise you may skip this section

Section 7: Choose Your Cash Feature

- Select your investment choice for any cash balances that may be in your account

Section 8: Advisor and Manager Authorizations

- In most cases, account holder(s) should initial the "Trading Authorization" option
- If you would like the ability to instruct Green Alpha to make disbursements to you (rather than you contacting Schwab directly), then account holder(s) should initial the "Trading Authorization & Disbursement" option

Section 9: Issuer Communications and Related Actions

- Unless otherwise instructed, Green Alpha Advisors will vote proxies and handle other corporate actions on your behalf (this saves you from a high volume of shareholder mailings)
- Select the 2nd option under "Managed Accounts" (Advisor) in order to have Green Alpha Advisors fulfill this role on your behalf
- You may skip the "Additional IRA Account" election

Section 10: Electronic Delivery (eDelivery) Enrollment

- Please provide your email address
- Enrollment in eDelivery is required to qualify for lower trading costs
- E-delivery saves paper and eliminates shipping

Section 11: Trade Confirmation Report Enrollment

- Skip this section, you will already receive trade confirmations electronically via eDelivery

Section 12: Authorization to Open Account(s)

- Account holder must sign, print name, and date

Section 13: Confirmation Notice Authorization

- Skip this section, all trades will be placed at Schwab and you will have access to trade confirmations via eDelivery

Appendix A: Funding Instructions

- To be completed only if you are funding your Sierra Club Green Alpha Portfolio account with assets from another Schwab account
- If you are funding from another Schwab account, complete Appendix A, sign, enter name, and date

Account Application Agreement:

- These final pages of the application relate to the terms between you and Schwab
- Please retain for you files (you do not need to send these pages to us)

Once you have completed the application, please print it and sign in the appropriate areas per the instructions above. Then submit the application to Green Alpha Advisors via email (scanned with signatures), fax or mail:

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